

**STORM WATER POLLUTION PREVENTION PLAN (SWPPP)
COMPLIANCE EVALUATION FORM for UPDES
CONSTRUCTION GENERAL PERMIT (CGP) UTRC00000**

Site Name: _____

UPDES Permit #: _____

SECTION 1: Instructions for SWPPP Evaluations

- 1) The SWPPPs being reviewed with this document are evaluated for their compliance with the corresponding UPDES construction storm water discharge permit; for additional information on those permits, go to the DWQ construction storm water permitting webpage: <https://deq.utah.gov/water-quality/general-construction-storm-water-updes-permits>.
- 2) The appropriate permit is identified by the applicant during permitting but must be confirmed by evaluation in Section 2 of this form (see below), to demonstrate applicability of coverage under either of the UPDES construction storm water permits:
 - a) Construction General Permit (CGP), UTRC00000 (viewable through this [link](#))
 - b) Common Plan Permit (CPP), UTRH00000 (viewable through this [link](#))
- 3) If the appropriate UPDES permit has been selected for coverage and the SWPPP has been submitted for review with a complete application, then the SWPPP evaluation must move forward; use the "SWPPP Review #" field to document whether it is the 1st, 2nd (or more) evaluation, and use the "Review Start Date" and "Review Complete Date" fields in Section 3 to document the timeliness of reviews.
- 4) Per Utah Code [Title19-Chapter5-Section108.3\(6\)\(b\)](#), the SWPPP reviewer shall complete the first review of the SWPPP within 14 business days after the day on which the applicant submits a complete SWPPP and application for local storm water permit coverage (if local permit coverage is required).
- 5) A "No" answer for any questions in the following SWPPP Evaluation will amount to an incomplete SWPPP and will be returned for modification. UPDES Permit citations are provided in **(parenthesis)**. Questions answered "N/A" (not applicable) do not affect the approval of the SWPPP. The final question on both forms is an internal question for MS4s and does not affect approval.
- 6) Per Utah Code [Title19-Chapter5-Section108.3\(6\)\(c\)](#), any non-compliance in the SWPPP (which requires modification to bring the SWPPP into compliance) requires a specific request for modification to be provided to the applicant; such requests must be thorough such that they will bring the SWPPP into compliance upon correction, and must include citations to local ordinances or state/federal law that require the modification. Furthermore, these requested modifications must be logged in an index of requested modifications. Space is provided for listing modifications or can be attached separately.
- 7) Per Utah Code [Title19-Chapter5-Section108.3\(6\)\(d\)](#), the SWPPP reviewer has 5 business days after the day on which the operator submits the modified SWPPP to complete the review of the SWPPP. Once the review of the plan is complete, the reviewer must notify the applicant, in writing, whether the authorization to discharge is granted.

NOTE: Pre-Construction SWPPP Review Checklists are a requirement of all UPDES MS4 Permits (Part 4.2.4.3). As such, utilizing these SWPPP Evaluation forms will meet that requirement. Operators and SWPPP Developers can utilize these forms to ensure compliance prior to submitting.

SECTION 2: Confirmation of Appropriate UPDES Construction Storm Water Permit Coverage

- 1) Will the project disturb at least 1-acre of land? (**CGP Part 1.1.2 and CPP Part 1.1**) Yes | No
- 2) Is the project part of a Common Plan of Development or Sale (CPoD) that will collectively disturb at least 1-acre of land? (**CGP Part 1.1.2 and CPP Part 1.1**) Yes | No
- 3) If CPoD, is the lot a single residential lot no more than 1-acre of disturbance? (**CPP Part 1.1**) Yes | No
N/A

How to determine appropriate UPDES construction storm water permit coverage:

- If "No" to both questions #1 and #2, then **no UPDES construction storm water permit is required**.
- If "Yes" to question #1 and "No" to question #2, then the project **must obtain CGP (UTRC00000) coverage** and **Section 3** of this evaluation form would be applicable for SWPPP review.
- If "Yes" to both questions #1 and #2, and "No" to question #3, then the project **must obtain CGP (UTRC00000) coverage** and **Section 3** of this evaluation form would be most applicable for SWPPP review.
- If "Yes" or "No" to question #1 (the threshold for CPP coverage is 1-acre or less) and "Yes" to both questions #2 and #3, then the project **may obtain CPP (UTRH00000) coverage** and the **CPP SWPPP Evaluation form** would be applicable for SWPPP review; **however** the CPP allows only one lot per permit, so if multiple lots in the CPoD will be developed, the operator **may choose to obtain separate CPP coverage for each lot or cover multiple lots under one CGP (UTRC00000) permit**, in which case **Section 3** of this form would be applicable for SWPPP review.
 - **NOTE: Commercial Common Plans of Development or Sale must be covered under the CGP (UTRC00000).**
- As such, if "No" to question #1, "Yes" to question #2, and "No" to question #3 (**or the project desires to cover multiple 1-acre or less residential lots under a single permit**), then the **CPP (UTRH00000) is not valid** and the project **must obtain CGP (UTRC00000) coverage** and **Section 3** of this evaluation form would be applicable for SWPPP review.

- 4) At the completion of Section 2, has the appropriate UPDES Construction Storm Water Permit coverage been verified? **NOTE: If "No", then the SWPPP must be resubmitted with the appropriate permit coverage selected, incorporated into the plan, and obtained (unless reviewing prior to obtaining permit coverage, in which case coverage must be obtained prior to beginning land disturbance).** Yes | No

If "Yes" to question #4, **complete the review of the submitted SWPPP for the appropriate UPDES Construction Storm Water Permit coverage using the Section 3 of this evaluation form (for CGP) or the CPP Evaluation form (for CPP), respectively. Always fill out a new form for each review cycle, and retain previous forms to document progression.**

Signatures fields are included in the respective SWPPP review sections of this document; always sign and date to ensure timeliness of reviews.

**UPDES CONSTRUCTION GENERAL PERMIT (CGP) UTRC00000
STORM WATER POLLUTION PREVENTION PLAN (SWPPP) EVALUATION FORM
SECTION 3**

SWPPP Review # (1st, 2nd, 3rd, etc.): _____ Review Start Date: _____ Review End Date: _____

Site Name: _____ UPDES Permit #: _____

Site Address: _____

Local Jurisdiction or County: _____ Total Project Area (acres): _____ Total Disturbed Area (acres): _____

Permit Effective Date: _____ Permit Expiration Date: _____

Project Type: Residential/Subdivision Commercial Industrial Linear (Road/Pipe/Power) Land Disturbance

OPERATOR CONTACT INFORMATION

Operator: _____ Phone: _____ E-mail: _____

On-site Facility Contact: _____ Phone: _____ E-mail: _____

Important Contact: _____ Phone: _____ E-mail: _____

Owner: _____ Phone: _____ E-mail: _____

CONSTRUCTION GENERAL PERMIT (CGP) SWPPP EVALUATION (with Citations)

- 1) Is the Storm Water Team (including other site Operators) identified by name and position in the SWPPP, including their SWPPP responsibilities and trainings? **(CGP Part 7.3.1)** NOTE: Storm Water Team responsibilities that must be included (CGP Part 6.1): **(1)** design, installation, maintenance, and/or repair of storm water controls (including pollution prevention controls); **(2)** application and storage of treatment chemicals (if applicable); **(3)** conducting inspections (CGP Part 4.1); and **(4)** taking corrective actions (CGP Part 5) Yes No
- 2) For any Storm Water Team member identified as being responsible for conducting inspections (CGP Part 4), has the SWPPP detailed their training/qualifications for conducting inspections in compliance with CGP Part 6.3? **(CGP Part 7.3.1)** Yes No
- 3) Are estimates provided for the size of the property (in acres, or length in miles if a linear site) and the total area to be disturbed by construction (including on-site and off-site support activity areas) to the nearest 1/4 acre (or 1/4 mile if linear)? **(CGP Part 7.3.2.b-c)** Yes No
N/A
- 4) Does the plan describe the nature of construction activities, including the age or dates of past renovations for structures undergoing demolition (CGP Part 7.3.2.a) Yes No
- 5) Does the plan describe any on-site and off-site construction support activities areas (CGP Part 1.2.1.b)? **(CGP Part 7.3.2.d)** Yes No
N/A
- 6) Is there a description of the construction schedule for: **(1)** commencement of activities, **(2)** temporary/permanent cessation of construction activities, **(3)** temporary/final stabilization of exposed areas of the site, and **(4)** removal of temporary storm water controls and construction equipment or vehicles and the cessation of construction related pollutant-generating activities. **(CGP Part 7.3.2.e(1-4))** Yes No
- 7) Are the business days and hours for the project identified in the SWPPP? **(CGP Part 7.3.2.g)** Yes No
- 8) Is a legible Site Map (or maps) included (in an attachment of the SWPPP) which shows the permit-required features of the site? **(CGP Part 7.3.3.a-i)** NOTE: Required map features include: **(a)** boundaries of the property; **(b)** locations where construction activities will occur, including: **(i)** earth-disturbing and demolition activities (phasing noted), **(ii)** approximate slopes before and after grading (steep slopes noted), **(iii)** stockpile locations (sediment, soil, materials, etc.), **(iv)** any Waters of the State crossings, **(v)** designated vehicle exit points (onto paved roads), **(vi)** structures and other impervious surfaces upon completion of construction, **(vii)** on-site and off-site construction support activity; **(c)** all Waters of the State within 1-mile of the site's discharge point (and the impairment/high-quality status of the water body); **(d)** type and extent of pre-construction ground cover; **(e)** drainage patterns of storm water and authorized non-storm water before and after grading; **(f)** storm water and authorized non-storm water discharge locations (including discharges to storm sewer inlets and outfalls to Waters of the State); **(g)** pollutant-generating activities (CGP Part 7.3.2.f); **(h)** storm water controls (including natural buffers and shared controls); **(i)** storage of polymers, flocculants, or other treatment chemicals. Yes No
- 9) Are the first downstream receiving waters of the state listed in the SWPPP, NOI, or the Site Map identifying the impairment (and TMDL status) or high-quality (Category 1 or 2) status of the water body? **(CGP Part 3.2 and 7.3.3.c)** Yes No
N/A
- 10) If the receiving water is identified as impaired (particularly for sediment or nutrients, and especially if it has an EPA-approved TMDL), does the SWPPP list the impairment causing pollutants for the water body, and does it address the control of those impairment causing pollutants in the plan (if those pollutants are anticipated to be present on-site)? **(CGP Part 3.2)** Yes No
N/A
- 11) If the receiving water is identified as high-quality, does the plan describe precautions taken to minimize pollution effects in the water body? **(CGP Part 3.2)** Yes No
N/A
- 12) Are all potential pollutant-generating activities listed, with the pollutants/constituents listed and their locations identified either by description or reference to the site map? **(CGP Part 7.3.2.f)** Yes No

CONSTRUCTION GENERAL PERMIT (CGP) SWPPP EVALUATION (continued)

- 13) For each potential pollutant and/or pollutant-generating activity listed, does the SWPPP include: a description of the specific controls to meet requirements of the CGP (CGP Part 2.2 and 2.3), the design specifications (with reference to manufacturer or BMP manuals/ordinances being followed), routine maintenance specifications, and the projected schedule for installation/implementation? **(CGP Part 7.3.5.a)** Yes | No
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- 14) Are the presence/absence of all authorized non-stormwater discharges (CGP Part 1.2.2) identified, with a description of measures used to reduce them or prevent them from contributing pollutants to discharges (CGP Part 2) ? **(CGP Part 7.3.4)** Yes | No
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- 15) If the project identifies dewatering as a pollutant-generating activity, does the plan describe the scope of dewatering and the BMPs used to manage those practices? **(CGP Part 7.3.2.f)** NOTE: UPDES Permit coverage for dewatering (and approval from local authorities) must be obtained prior to discharging from dewatering; can be obtained after SWPPP approval. **(CGP Part 1.2.4 and 2.3.7)** Yes | No
N/A
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- 16) If the project is within 50 feet of a Water of the State (CGP Part 2.2.1 and A.1), was the selected natural buffers compliance alternative (CGP Part A.2.1), or exception (CGP Part A.2.2) identified, and were the required descriptions of equivalent sediment controls, alternatives, and/or infeasibility provided? **(CGP Part 7.3.5.b.(1) and A.2.3.)** Yes | No
N/A
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- 17) Are the selected BMPs for erosion and sediment control (per CGP Part 2.2) identified and described with design specifications and instructions for installation, maintenance, and the projected schedule for implementation either in the main body of the SWPPP or as an attachment? **(CGP Part 7.3.5.a)** NOTE: CGP requires the following elements to be addressed in the SWPPP: (a) Preserve vegetation where possible **(CGP Part 2.2.2)**; (b) Install sediment controls along downslope perimeter areas **(CGP Part 2.2.3)**; (c) Minimize sediment track-out **(CGP Part 2.2.4 and 7.3.5.b.(3))**; (d) Manage stockpiles with perimeter controls and locate away from storm water conveyances **(CGP Part 2.2.5)**; (e) Minimize dust **(CGP Part 2.2.6)**; (f) Minimize steep slope disturbances **(CGP Part 2.2.7)**; (g) Preserve topsoil **(CGP Part 2.2.8)**; (h) Minimize soil compaction where final cover is vegetation **(CGP Part 2.2.9)**; (i) Protect storm drain inlets **(CGP Part 2.2.10)**; (j) Slow down runoff with erosion controls and velocity dissipation devices **(CGP Part 2.2.11)**; (k) Appropriately design any sediment basins or impoundments **(CGP Part 2.2.12 and 7.3.5.b.(4))**; (l) Follow requirements for any treatment chemicals **(CGP Part 2.2.13)**; (m) Stabilize exposed portions of site with 30-days of inactivity **(CGP Part 2.2.14)** Yes | No
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- 18) If the project is linear (per CGP Part 2.2.3), are the areas where perimeter controls are not feasible documented (to support the determination) and are the other practices being implemented to minimize pollutant discharges described? **(CGP Part 7.3.5.b.(2))** Yes | No
N/A
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- 19) Are the specific vegetative/non-vegetative final stabilization measures (CGP Part 2.2.14) described, including location information and deadlines for implementation in accordance with CGP Part 2.2.14.a.? **(CGP Part 7.3.5.b.(6)(i-ii))** Yes | No
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- 20) Are spill prevention and response procedures (CGP Part 1.3.5 and 2.3.6) included that have procedures and responsible parties identified for stopping, containing, cleaning, and reporting spills, leaks and other releases (including notification of appropriate parties if the release contains a hazardous substance or reportable quantity)? **(CGP Part 7.3.5.b.(7)(i-iii))** Yes | No
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- 21) Are the selected BMPs for pollution prevention control (per CGP Part 2.3) identified and described with design specifications and instructions for installation, maintenance, and the projected schedule for implementation? **(CGP Part 7.3.5.a)** NOTE: CGP requires the following to be described in the SWPPP: (a) Equipment and vehicle fueling **(CGP Part 2.3.1)**; (b) Equipment and vehicle washing **(CGP Part 2.3.2)**; (c) Storage, handling, disposal of building products and wastes **(CGP Part 2.3.3)**; (d) Washing of stucco, paint, concrete, form release oils, curing compounds, etc. **(CGP Part 2.3.4)**; (e) Properly applying fertilizers **(CGP Part 2.3.5)** Yes | No
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- 22) Are waste management procedures (CGP Part 2.3.3) described for handling, storing, and disposing of wastes generated on-site, including documented infeasibility and alternative practice statements for violating setback requirements (CGP Part 2.3.3.c(2)(ii)) or claims of exceptions from CGP Part 2.3.3.e? **(CGP Part 7.3.5.b.(8)(i-ii))** Yes | No
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- 23) If the project is claiming to be an "Emergency-Related construction activity" for the special condition allowing for work to begin prior to permit issuance, does the plan include a description of the nature of the public emergency and why immediate authorization was necessary? **(CGP Part 1.4.1.b)** Yes | No
N/A
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- 24) If treatment chemicals (CGP Part 2.2.13) are planned for the project, are the required elements described [soil types on-site and from fill materials, list of treatment chemicals planned and justification that they are suitable for the site's soil characteristics, dosage of treatment chemicals or the methodology used to determine dosage, information from Safety Data Sheets (SDS), schematic drawings of enhanced controls or treatment systems, description of storage of chemicals (CGP Part 2.2.13.c), references to applicable local requirements for the use of these chemicals, copies of manufacturers specifications regarding their use, and any training that personnel who handle and apply chemicals have received prior to use of those chemicals? **(CGP Part 7.3.5.b.(5)(i-ix))** Yes | No
N/A
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- 25) Are the inspection, maintenance, and corrective actions procedures detailed in the plan (per CGP Part 2.1.4, Part 4, and Part 5), including the inspection schedule (CGP Part 4.2, Part 4.3, or Part 4.4), the location of the rain gauge or address of the weather station for rainfall monitoring (if applicable to the schedule), and any maintenance or inspection checklists or forms? **(CGP Part 7.3.6.a-d)** Yes | No
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- 26) If the project discharges to a water body that is either impaired (for sediment or nutrients) or high-quality (CGP Part 3.2), is the increased inspections frequency of every 7 calendar days and within 24-hours of a 0.5-inch storm event selected? **(CGP Part 7.3.6.a and Part 4.3)** Yes | No
N/A
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- 27) Is the reduced inspections frequency for stabilized areas, arid/semi-arid/drought-stricken areas, or frozen conditions selected with appropriate description and documentation of the applicability of that frequency? **(CGP Part 7.3.6.c and Part 4.4)** Yes | No
N/A
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- 28) Was the SWPPP certified by the duly authorized signatory of the operator ? **(CGP Part 7.3.8 and Part 9.9.2)** Yes | No

